

## ANNEXURE I

### Form No. MGT - 9

#### EXTRACT OF ANNUAL RETURN

As on March 31, 2020

Pursuant to Section 92(3) of the Companies Act, 2013, and  
[Read with Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

### I. Registration and Other Details

i	CIN	L27102OR1982PLC001091
ii	Registration Date	July 31, 1982
iii	Name of the Company	Tata Steel Long Products Limited (Formerly Tata Sponge Iron Limited)
iv	Category / Sub-Category of the Company	Public listed Company having share capital
v	Address of the Registered office and contact details	P.O. Joda, Dist – Keonjhar, Odisha – 758 034 Tel No. 06767 – 278178 Fax No. 06767 – 278159 E-mail: investorcell@tatasteellp.com Website: <a href="http://www.tatasteellp.com">www.tatasteellp.com</a>
vi	Whether listed company (Yes / No)	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent	TSR Darashaw Consultants Private Limited (Formerly TSR Darashaw Limited) 6-10 Haji Moosa Patrawala Industrial House 20, Dr. E. Moses Road Near Famous Studio Mahalaxmi Mumbai – 400 011 Tel No.: 022 66568484 Fax No.: 022 66568494 E-mail: csg-unit@tsrdarashaw.com Website: <a href="http://www.tsrdarashaw.com">www.tsrdarashaw.com</a>

### II. Principal Business Activities of the Company

All the business activities contributing 10 % or more of the total turnover of the Company shall be stated.

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the Company
1	Manufacture of basic iron & steel	241	98%

### III. Particulars of Holding, Subsidiary and Associate Companies

Sl. No.	Name of the Company	Address	CIN/GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable Section
1.	Tata Steel Limited	Bombay House, 24 – Homi Modi Street, Fort, Mumbai - 400001	L27100MH1907PLC000260	Holding	75.91%	2(46)
2.	TSIL Energy Limited	Tata Sponge (now Tata Steel Long Products) Administrative Building Bileipada, P.O. - Baneikala, Joda, Odisha - 758038	U40109OR2012PLC016232	Subsidiary	100%	2(87)(ii)

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## IV. Share Holding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

## Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year (as on April 01, 2019)				No. of Shares held at the end of the year (as on March 31, 2020)				% change
	Electronic	Physical	Total	%	Electronic	Physical	Total	%	
<b>A. Promoter</b>									
<b>1. Indian</b>									
a) Individual/ HUF	0	0	0	0.00	0	0	0	0.00	0.00
b) Central Government	0	0	0	0.00	0	0	0	0.00	0.00
c) State Government	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporate	83,93,554	0	83,93,554	54.50	3,42,37,521	0	3,42,37,521	75.91	21.41
e) Banks / Financial Institutions	0	0	0	0.00	0	0	0	0.00	0.00
f) Any Other	0	0	0	0.00	0	0	0	0.00	0.00
<b>Sub-Total (A)(1)</b>	<b>83,93,554</b>	<b>0</b>	<b>83,93,554</b>	<b>54.50</b>	<b>3,42,37,521</b>	<b>0</b>	<b>3,42,37,521</b>	<b>75.91</b>	<b>21.41</b>
<b>2. Foreign</b>									
a) Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0	0	0	0.00	0.00
b) Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
c) Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
d) Institutions	0	0	0	0.00	0	0	0	0.00	0.00
e) Any Other	0	0	0	0.00	0	0	0	0.00	0.00
<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Shareholding of Promoters</b>	<b>83,93,554</b>	<b>0</b>	<b>83,93,554</b>	<b>54.50</b>	<b>3,42,37,521</b>	<b>0</b>	<b>3,42,37,521</b>	<b>75.91</b>	<b>21.41</b>
<b>(A) = (A)(1)+(A)(2)</b>									
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds/UTI	2,63,383	1,550	2,64,933	1.72	23,13,704	1,550	23,15,254	5.13	3.41
b) Banks / Financial Institutions	46,410	5,200	51,610	0.34	27,134	5,200	32,334	0.07	(0.26)
c) Central Government	0	0	0	0.00	0	0	0	0.00	0.00
d) State Government	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	1,00,000	0	1,00,000	0.66	1,00,000	0	1,00,000	0.22	(0.43)
g) Foreign Institutional Investors	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Investors	0	0	0	0.00	0	0	0	0.00	0.00
i) Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
j) Others									
Foreign Portfolio Investors (Corp.)	5,33,026	0	5,33,026	3.46	4,18,972	0	4,18,972	0.93	(2.53)
<b>Sub-Total (B)(1)</b>	<b>9,42,819</b>	<b>6,750</b>	<b>9,49,569</b>	<b>6.17</b>	<b>28,59,810</b>	<b>6,750</b>	<b>28,66,560</b>	<b>6.36</b>	<b>0.19</b>

Category of Shareholders	No. of Shares held at the beginning of the year (as on April 01, 2019)				No. of Shares held at the end of the year (as on March 31, 2020)				% change
	Electronic	Physical	Total	%	Electronic	Physical	Total	%	
<b>2. Non-Institutions</b>									
a) Bodies Corporate	6,27,975	7,600	6,35,575	4.13	7,09,201	6,600	7,15,801	1.59	(2.54)
b) Individuals									
i) Individual Shareholders holding nominal share capital up to ₹1 lakh	39,46,757	5,76,742	45,23,499	29.37	56,37,842	4,97,633	61,35,475	13.60	(15.77)
ii) Individual Shareholders holding nominal share capital in excess of ₹1 lakh	7,18,588	0	7,18,588	4.67	9,46,816	0	9,46,816	2.10	(2.57)
c) Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
d) Others									
Trust	3,850	0	3,850	0.03	3,700	0	3,700	0.01	(0.02)
NBFCs registered with RBI	2,442	0	2,442	0.02	0	0	0	0.00	(0.02)
IEPF	1,72,923	0	1,72,923	1.12	1,94,127	0	1,94,127	0.43	(0.69)
<b>Sub-Total (B)(2)</b>	<b>54,72,535</b>	<b>5,84,342</b>	<b>60,56,877</b>	<b>39.33</b>	<b>74,91,686</b>	<b>5,04,233</b>	<b>79,95,919</b>	<b>17.73</b>	<b>(21.60)</b>
<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>64,15,354</b>	<b>5,91,092</b>	<b>70,06,446</b>	<b>45.50</b>	<b>1,03,51,496</b>	<b>5,10,983</b>	<b>1,08,62,479</b>	<b>24.09</b>	<b>(21.41)</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>Grand Total (A+B+C)</b>	<b>1,48,08,908</b>	<b>5,91,092</b>	<b>1,54,00,000</b>	<b>100.00</b>	<b>4,45,89,017</b>	<b>5,10,983</b>	<b>4,51,00,000</b>	<b>100.00</b>	<b>0.00</b>

### (ii) Shareholding of Promoters

Sl. No	Shareholders' Name	Shareholding at the beginning of the year (April 01, 2019)			Shareholding at the end of the year (March 31, 2020)			% change in shareholding during the year
		No. of shares	% of total shares of the Company	% of Shares Pledged/ encumbered to total shares	No. of shares	% of total shares of the Company	% of Shares Pledged/ encumbered to total shares	
1.	Tata Steel Limited	83,93,554	54.50	0	3,42,37,521	75.91	0	21.41
	<b>Total</b>	<b>83,93,554</b>	<b>54.50</b>	<b>0</b>	<b>3,42,37,521</b>	<b>75.91</b>	<b>0</b>	<b>21.41</b>

### (iii) Change in Promoter's Shareholding

Particulars	Shareholding		Cumulative Shareholding	
	No. of Shares	% of total Shares of the Company	No. of shares	% of total Shares of the Company
Tata Steel Limited				
At the beginning of the year (April 01, 2019)	83,93,554	18.61	83,93,554	18.61
Increase during the year (Allotment pursuant to Rights Issue)	2,58,43,967	57.30	3,42,37,521	75.91
At the end of the year (March 31, 2020)	3,42,37,521	75.91	3,42,37,521	75.91

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**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)**

Sl. No	Name of the ShareHolder	No of Shares at the beginning of the year	% of total shares of the Company at the beginning of the year	Cummlative Shares during the year	% of total shares of the Company during the year
<b>1.</b>	<b>Reliance Capital Trustee Company Limited - A/C Reliance Tax Saver (Elss) Fund</b>				
	At the beginning of the year	2,53,383	0.56	2,53,383	0.56
	Bought during the year	20,25,833	4.49	22,79,216	5.05
	Sold during the year	0	0.00	22,79,216	5.05
	At the end of the year	22,79,216		22,79,216	5.05
<b>2.</b>	<b>Investor Education And Protection Fund Authority, Ministry of Corporate Affairs</b>				
	At the beginning of the year	1,72,923	0.38	1,72,923	0.38
	Bought during the year	21,204	0.05	1,94,127	0.43
	Sold during the year	0	0.00	1,94,127	0.43
	At the end of the year	1,94,127		1,94,127	0.43
<b>3.</b>	<b>Emerging Markets Core Equity Portfolio (The Portfolio) Of Dfa Investment Dimensions Group Inc. (DFAIDG)</b>				
	At the beginning of the year	63,846	0.14	63,846	0.14
	Bought during the year	1,36,812	0.30	2,00,658	0.44
	Sold during the year	(11,802)	(0.03)	1,88,856	0.42
	At the end of the year	1,88,856		1,88,856	0.42
<b>4.</b>	<b>The Emerging Markets Small Cap Series of the DFA Investment Trust Company</b>				
	At the beginning of the year	42,664	0.09	42,664	0.09
	Bought during the year	79,915	0.18	1,22,579	0.27
	Sold during the year	(17,359)	(0.04)	1,05,220	0.23
	At the end of the year	1,05,220		1,05,220	0.23
<b>5.</b>	<b>General Insurance Corporation of India</b>				
	At the beginning of the year	1,00,000	0.22	1,00,000	0.22
	Bought during the year	0	0.00	1,00,000	0.22
	Sold during the year	0	0.00	1,00,000	0.22
	At the end of the year	1,00,000		1,00,000	0.22
<b>6.</b>	<b>Sanjeev Vinodchandra Parekh</b>				
	At the beginning of the year	99,564	0.22	99,564	0.22
	Bought during the year	0	0.00	99,564	0.22
	Sold during the year	0	0.00	99,564	0.22
	At the end of the year	99,564		99,564	0.22
<b>7.</b>	<b>Singhi Dinesh Kumar HUF</b>				
	At the beginning of the year	30,004	0.07	30,004	0.07
	Bought during the year	75,000	0.17	1,05,004	0.23
	Sold during the year	(21,794)	(0.05)	83,210	0.18
	At the end of the year	83,210		83,210	0.18

Sl. No	Name of the ShareHolder	No of Shares at the beginning of the year	% of total shares of the Company at the beginning of the year	Cummlative Shares during the year	% of total shares of the Company during the year
<b>8.</b>	<b>Ajai Hari Dalmia</b>				
	At the beginning of the year	78,233	0.17	78,233	0.17
	Bought during the year	0	0.00	78,233	0.17
	Sold during the year	0	0.00	78,233	0.17
	At the end of the year	78,233		78,233	0.17
<b>9.</b>	<b>Smc Global Securities Limited</b>				
	At the beginning of the year	5,108	0.01	5,108	0.01
	Bought during the year	2,19,593	0.49	2,24,701	0.50
	Sold during the year	(1,56,323)	(0.35)	68,378	0.15
	At the end of the year	68,378		68,378	0.15
<b>10.</b>	<b>Sanjeev Arora</b>				
	At the beginning of the year	5,468	0.01	5,468	0.01
	Bought during the year	95,468	0.21	1,00,936	0.22
	Sold during the year	(50,468)	(0.11)	50,468	0.11
	At the end of the year	50,468		50,468	0.11
<b>11.</b>	<b>LSV Emerging Markets Small Cap Equity Fund, LP</b>				
	At the beginning of the year	1,17,300	0.26	1,17,300	0.26
	Bought during the year	0	0.00	1,17,300	0.26
	Sold during the year	(97,876)	(0.22)	19,424	0.04
	At the end of the year	19,424		19,424	0.04
<b>12.</b>	<b>Vikram Singh Mauli Singh Negi</b>				
	At the beginning of the year	53,966	0.12	53,966	0.12
	Bought during the year		0.00	53,966	0.12
	Sold during the year	(39,918)	(0.09)	14,048	0.03
	At the end of the year	14,048		14,048	0.03
<b>13.</b>	<b>Brij Bhushan Agarwal</b>				
	At the beginning of the year	50,500	0.11	50,500	0.11
	Bought during the year	0	0.00	50,500	0.11
	Sold during the year	(38,373)	(0.09)	12,127	0.03
	At the end of the year	12,127		12,127	0.03
<b>14.</b>	<b>Government of The Province Of Alberta Managed By Comgest S.A</b>				
	At the beginning of the year	1,44,700	0.32	1,44,700	0.32
	Bought during the year	0	0.00	1,44,700	0.32
	Sold during the year	(1,36,440)	(0.30)	8,260	0.02
	At the end of the year	8,260		8,260	0.02

**Notes:**

1. The above information is based on the weekly beneficiary position received from Depositories.
2. The date wise increase or decrease in shareholding of the top ten shareholders is available on the website of the Company at [www.tatasteellp.com](http://www.tatasteellp.com)
3. The % of total shares of the Company in respect of shares bought and sold during the year is calculated on the total share capital of the Company as on March 31, 2020.

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**(v) Shareholding of Directors and Key Managerial Personnel**

Sl. No	Name of Directors/ Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1.	Directors <sup>1</sup> Mr. Ashish Anupam, Managing Director	20	Refer Note 2 below	20	Refer Note 2 below
2.	Key Managerial Personnel <sup>3</sup> Mr. S. K. Mishra, Chief Financial Officer	250		250	

**Notes:**

<sup>1</sup> No other Directors of the Company, except Mr. Ashish Anupam, Managing Director, held shares of the Company during the year.

<sup>2</sup> This is less than 0.01% of the total shares of the Company.

<sup>3</sup> Mr. Sanjay Shrivastav, Joint Chief Financial Officer and Mr. Sanjay Kasture, Chief Risk & Compliance Officer and Company Secretary, held no shares of the Company during the year.

**V. Indebtedness**

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	(₹ in lakh)	
				Total	Indebtedness
<b>Indebtedness at the beginning of the financial year</b>					
(i) Principal Amount	-	-	-	-	-
(ii) Interest due but not paid	-	-	-	-	-
(iii) Interest accrued but not due	-	-	-	-	-
Total (i+ii+iii)	-	-	-	-	-
<b>Change in Indebtedness during the financial year</b>					
• Addition*	2,65,000.00	7,00,000.00	-	9,65,000.00	
• Reduction	-	7,00,000.00	-	7,00,000.00	
<b>Net Change</b>	2,65,000.00	-	-	2,65,000.00	
<b>Indebtedness at the end of the financial year</b>					
(i) Principal Amount	2,65,000.00	-	-	2,65,000	
(ii) Interest due but not paid	-	-	-	-	
(iii) Interest accrued but not due	829.83	-	-	829.83	
<b>Total (i+ii+iii)</b>	<b>2,65,829.83</b>	-	-	<b>2,65,829.83</b>	

\* Represents Gross Loan amount. Amount reported in Note 15 of the Financial statements of ₹2,64,407 lakh is after adjustment of upfront fees / loan issue expense deducted by Bank.

## VI. Remuneration of Directors and Key Managerial Personnel

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(₹ in lakh)			
Sl. No	Particulars of Remuneration	Mr. Ashish Anupam Managing Director*	Mr. Sanjay Kumar Pattnaik <sup>#</sup>
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	41.37	71.99
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	29.81
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	• As % of profit	-	-
	• Others (Performance Pay and Long-Term Incentive Pay)	42.74	69.03
5	Others, please specify	-	-
	(i) Retirals / Deputation Chargess	6.04	10.19
	<b>Total (A)</b>	<b>90.15</b>	<b>181.02</b>
	Overall Ceiling as per the Companies Act, 2013		NA

\* Mr. Ashish Anupam was appointed as the Managing Director of the Company, effective November 01, 2019.

<sup>#</sup> Mr. Sanjay Kumar Pattnaik, ceased to be the Managing Director of the Company, effective October 31, 2019, on completion of his tenure.

### B. Remuneration to other Directors:

(₹ in lakh)				
Sl. No	Name	Commission**	Sitting Fees	Total Compensation
<b>I Non-Executive Directors</b>				
1.	Mr. T.V. Narendran - Chairman <sup>~</sup>	-	-	-
2.	Mr. Koushik Chatterjee <sup>~</sup>	-	-	-
3.	Mrs. Meena Lall <sup>~</sup>	-	-	-
<b>II Independent Directors</b>				
1.	Mr. P.C. Parakh	-	3.75	3.75
2.	Dr. Sougata Ray	-	2.50	2.50
3.	Dr. Ansuman Das <sup>§</sup>	-	1.60	1.60
4.	Mr. Srikumar Menon <sup>§</sup>	-	1.95	1.95
5.	Mr. Shashi Kant Maudgal <sup>§</sup>	-	2.60	2.60
6.	Ms. Neeta Karmakar <sup>^</sup>	-	-	-
7.	Mr. D.K. Banerjee <sup>*</sup>	-	1.10	1.10
8.	Dr. Omkar Nath Mohanty <sup>*</sup>	-	1.35	1.35
9.	Mr. Manoj T. Thomas <sup>*</sup>	-	0.90	0.90
	<b>Total</b>	-	<b>15.75</b>	<b>15.75</b>
	<b>Grand Total</b>	-	<b>15.75</b>	<b>15.75</b>
	Overall Ceiling as per the Companies Act, 2013		NA	

<sup>~</sup> In line with the internal guidelines of the Company, no payment is made towards commission to the Non-Executive Directors of the Company, who are in full-time employment with any other Tata company. No payment is also made to other directors.

\* Mr. D.K. Banerjee and Mr. Manoj T. Thomas ceased as members of the Board effective July 14, 2019. Dr. O.N. Mohanty ceased to be Member of the Board effective July 15, 2019.

\*\* No Commission was paid / payable to the Non-Executive Directors / Independent Directors of the Company, for FY20.

<sup>§</sup> Mr. Srikumar Menon, Mr. Shashi Kant Maudgal and Dr. Ansuman Das were appointed as Additional Directors (Non-Executive, Independent) effective July 15, 2019.

<sup>^</sup> Ms. Neeta Karmakar was appointed as Additional Director (Non-Executive, Independent) effective March 30, 2020

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**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD**

Sl. No	Particulars of Remuneration	Key Managerial Personnel			(₹ in lakh)
		Mr. S. K. Mishra (Chief Financial Officer)	Mr. Sanjay Kumar Shrivastav (Joint Chief Financial Officer)	Mr. Sanjay Kasture (Chief Risk & Compliance Officer and Company Secretary)	Total amount
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1951	27.45	42.81	18.69	88.95
	(b) Value of perquisites under Section 17(2) of the Income Tax Act, 1951	6.91	8.87	1.79	17.57
	(c) Profit in lieu of salary under Section 17(3) of the Income Tax Act, 1951	-	-	-	-
2.	Stock Options	-	-	-	-
3.	Sweat Equity	-	-	-	-
4.	Commission				
	- As % of profit	-	-	-	-
	- Others (Performance pay)	19.28	13.43	7.83	40.54
5.	Others (Retirement benefit)	7.13	13.60	1.54	22.27
	<b>Total (C)</b>	<b>60.77</b>	<b>78.71</b>	<b>29.85</b>	<b>169.33</b>

**Note:** Mr. Sanjay Kumar Shrivastav was appointed as Joint Chief Financial Officer of the Company with effect from July 29, 2019. The remuneration figure is for the period he served as Joint Chief Financial Officer during FY20.

**VII. Penalties / Punishment/ Compounding of Offences:**

There were no penalties/ punishments/ compounding of offences for the financial year ended March 31, 2020.

Jamshedpur  
June 09, 2020

Sd/-  
**Ashish Anupam**  
Managing Director  
DIN: 08384201

Sd/-  
**Sanjay Kasture**  
Chief Risk & Compliance Officer  
and Company Secretary  
ACS: 24429