

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Tata Sponge Iron Limited**
 2. Quarter ending - **31-Mar-2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Date of Appointment	Date of cessation	Tenure	No of Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	T.V. Narendran	03083605	ABQPV7287B	C,NED		12-Jan-2019			3	1	0	NRC	
Mr.	Dipak Kumar Banerjee	00028123	AESP B2776A	ID		15-Jul-2014		60	6	7	3	AC,NRC	
Mr.	Prakash Chandra Parakh	01305775	ADFP P1990C	ID		21-Aug-2015		60	1	1	1	AC,SC	
Mr.	Manoj Thankachan Thomas	03614981	AGFP T1685H	ID		15-Jul-2014		60	1	1	0	NRC	
Mr.	Omkar Nath Mohanthy	030585	ABLP M679	ID		21-Aug-2015		47	1	1	0	AC	

		76	1Q										
Mr.	Sougata Ray	001 341 36	AERP R8519 N	ID		12-Jan- 2019		60	2	3	1	SC	
Mr.	Koushik Chatterjee	000 049 89	AETP C4136 N	NED		12-Jan- 2019			5	1	0	AC,NR C	
Mr.	Ashish Anupam	083 842 01	AEXP A8679 J	NED		14-Mar- 2019			1	0	0		
Mrs.	Meena Lall	051 333 22	AAJP L2610 G	NED		21-Aug- 2015			1	0	0	RMC	
Mr.	Sanjay Kumar Pattnaik	002 568 32	AIRPP 6738R	ED		01-Nov- 2016		36	1	1	0	SC,RC	
Mr.	Abanindra Mohan Misra	014 772 89	ABXP M600 3H	NED,ND		02-Aug- 2009	12-Jan- 2019		0	0	0	NRC	
Mr.	Ranganath Raghupathy Rao	067 253 37	AAFP R0403 F	NED		15-Jul- 2014	12-Jan- 2019		1	3	1	AC	
Mr.	Bimlendra Jha	021 702 80	ACEP J7946 A	NED		12-Jan- 2019	07-Feb- 2019		1	1	0		

Company Remarks	
Whether Permanent chairperson appointed	Yes

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Ranganath Raghupathy Rao	NED	Member	30-Oct-2013	12-Jan-2019
2	Koushik Chatterjee	NED	Member	29-Jan-2019	
3	Omkar Nath Mohanthy	ID	Member	15-Oct-2014	
4	Dipak Kumar Banerjee	ID	Member	29-Jul-2003	
5	Prakash Chandra Parakh	ID	Chairperson	16-Jul-2011	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sanjay Kumar Pattnaik	ED	Member	15-Oct-2014	
2	Prakash Chandra Parakh	ID	Member	15-Oct-2014	
3	Sougata Ray	ID	Chairperson	29-Jan-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sanjay Kumar Pattnaik	ED	Member	15-Oct-2014	
2	Meena Lall	NED	Chairperson	22-Mar-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Abanindra Mohan Misra	NED,ND	Member	28-Apr-2014	12-Jan-2019
2	Koushik Chatterjee	NED	Member	29-Jan-2019	
3	T.V. Narendran	C,NED	Member	29-Jan-2019	
4	Dipak Kumar Banerjee	ID	Member	28-Apr-2014	
5	Manoj Thankachan Thomas	ID	Chairperson	28-Apr-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter
12-Oct-2018	12-Jan-2019
21-Oct-2018	14-Mar-2019
24-Oct-2018	
15-Dec-2018	

Company Remarks	
Maximum gap between any two consecutive (in number of days)	60

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Requirement of Quorum met (details)	Whether requirement of Quorum met (Yes/No)
Audit Committee	11-Oct-2018	12-Jan-2019		Yes
Audit Committee	15-Dec-2018			Yes
Risk Management Committee	08-Oct-2018			Yes
Nomination & Remuneration Committee	08-Oct-2018	12-Jan-2019		Yes
Nomination & Remuneration Committee		14-Mar-2019		Yes
Stakeholders Relationship Committee		30-Mar-2019		Yes

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	27

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related	
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party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
Details of business	Yes		www.tatasponge.com
Terms and conditions of appointment of	Yes		www.tatasponge.com
Composition of various committees of	Yes		www.tatasponge.com
Code of conduct of board of directors and	Yes		www.tatasponge.com
Details of establishment of vigil mechanism/	Yes		www.tatasponge.com
Criteria of making payments to non-	Yes		www.tatasponge.com
Policy on dealing with related party	Yes		www.tatasponge.com
Policy for determining 'material' subsidiaries	Yes		www.tatasponge.com
Details of familiarization programs imparted	Yes		www.tatasponge.com
Contact information of the designated officials of the listed	Yes		www.tatasponge.com
email address for grievance redressal and	Yes		www.tatasponge.com
Financial results	Yes		www.tatasponge.com
Shareholding pattern	Yes		www.tatasponge.com
Details of agreements entered into with the media companies and/or	Not Applicable		
New name and the old name of the listed	Not		
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	

<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) &	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Maximum Directorship & Tenure</i>	25(1) & (2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : **Sanjay Kasture**
Designation : **Company Secretary & Compliance Officer**