

1. Name of Listed Entity:Tata Sponge Iron Limited
2. Scrip Code/Name of Scrip/Class of Security: TATASPONGE
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								As a % of (A+B+C2)	No of Voting Rights				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
Class eg: X	Class eg: y	Total	Total as a % of (A+B+C)														
A	Promoter &	1	8393554	0	0	839355	54.50	8393	0	839	54.50	0	54.50	0	0.00		8393554

	Promoter Group					4		554		3554								
B	Public	34500	7006446	0	0	7006446	45.50	7006446	0	7006446	45.50	0	45.50	0	0.00			6136969
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	34501	15400000	0	0	15400000	100.00	15400000	0	15400000	100.00	0	100.00	0	0.00			14530523

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
a	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
b	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
c	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
d	Any Other (specify)	1	839354	0	0	839354	54.50	839354	0	839354	54.50	0	54.50	0	0.00			8393554	
	Body Corporate	1	839354	0	0	839354	54.50	839354	0	839354	54.50	0	54.50	0	0.00			8393554	
	Tata Steel Limited	AAA CT28 03M	839354	0	0	839354	54.50	839354	0	839354	54.50	0	54.50	0	0.00			8393554	
	Sub-Total		1	839354	0	0	839354	54.50	839354	0	839354	54.50	0	54.50	0	0.00			8393554

	(A)(1)			54			4		54		3554							
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	54.50	0	0.00			8393554

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class X	Class Y									Total
1	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

a	Mutual Funds/		6	3500	0	0	3500	0.02	3500	0	3500	0.02	0	0.02	0	0.00			0
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		23	1266868	0	0	1266868	8.23	1266868	0	1266868	8.23	0	8.23	0	0.00			1266868
	HSBC Global Investment Funds - Asia Ex Japan Equity Smaller Companies	AAD CH89 60Q	1	702538	0	0	702538	4.56	702538	0	702538	4.56	0	4.56	0	0.00			702538
f	Financial Institutions/ Banks		14	244820	0	0	244820	0.16	244820	0	244820	0.16	0	0.16	0	0.00			18732
g	Insurance Companies		2	103000	0	0	103000	0.67	103000	0	103000	0.67	0	0.67	0	0.00			103000
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		45	1397850	0	0	1397850	9.08	1397850	0	1397850	9.08	0	9.08	0	0.00			1388600
2	Central Government/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	State Government(s) / President of India																		
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Individuals -	33722	4940136	0	0	4940136	32.08	4940136	0	4940136	32.08	0	32.08	0	0.00	0	0.00	4089259	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	33712	4512430	0	0	4512430	29.30	4512430	0	4512430	29.30	0	29.30	0	0.00			3661553	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	427706	0	0	427706	2.78	427706	0	427706	2.78	0	2.78	0	0.00			427706	
b	NBFCs registered with RBI	3	3222	0	0	3222	0.02	3222	0	3222	0.02	0	0.02	0	0.00			3222	
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
e	Any Other (specify)	730	665238	0	0	665238	4.32	665238	0	665238	4.32	0	4.32	0	0.00			655888	
	Body	339	39764	0	0	397643	2.58	397640	0	397643	2.58	0	2.58	0	0.00			388293	

	Corporate		3					3		643								
	Clearing member	384	258631	0	0	258631	1.68	258631	0	258631	1.68	0	1.68	0	0.00			258631
	Limited Liability Partnership	4	4014	0	0	4014	0.03	4014	0	4014	0.03	0	0.03	0	0.00			4014
	Trust	3	4950	0	0	4950	0.03	4950	0	4950	0.03	0	0.03	0	0.00			4950
	Sub-Total (B)(3)	34455	5608596	0	0	5608596	36.42	5608596	0	5608596	36.42	0	36.42	0	0.00			4748369
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	34500	7006446	0	0	7006446	45.50	7006446	0	7006446	45.50	0	45.50	0	0.00			6136969

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (i)	PAN (ii)	No. of share holder (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Underlying Outstanding convertible securities (including Warrants) (x)	Total shareholding, as a % assuming full conversion of convertible securities (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
As a % of (A+B+C2) (viii)			Class X	Class Y	Total													
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %