

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Tata Sponge Iron Limited**  
 2. Quarter ending - **30-Sep-2017**

<b>i. Composition of Board of Directors</b>										
Title (Mr./Ms)	Name of the Director	DI N	P A N	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of cessation	Tenure	No of Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Abanindra Mohan Misra	01477289	ABXPM6003H	C	02-Aug-2009			1	0	0
Mr.	Dipak Kumar Banerjee	00028123	AESPB2776A	ID	15-Jul-2014	14-Jul-2019	5	6	7	3
Mr.	Prakash Chandra Parakh	01305775	ADFP1990C	ID	21-Aug-2015	20-Aug-2020	5	1	1	1
Mr.	Manoj Thanachan Thomas	03614981	AGFPT1685H	ID	15-Jul-2014	14-Jul-2019	5	1	0	0

Mr.	Ranganath Raghupathy Rao	06725337	AAF PRO 403 F	NED	15-Jul-2014			1	2	1
Mr.	Omkar Nath Mohanty	03058576	ABL PM 679 1Q	ID	21-Aug-2015	15-Jul-2019	4	1	1	0
Mr.	Krishnava Satayki Dutt	02792753	AC QP D88 50P	ID	21-Aug-2015	15-Aug-2019	4	4	4	3
Mrs.	Meena Lall	05133322	AAJ PL2 610 G	NED	21-Aug-2015			1	0	0
Mr.	Sanjay Kumar Pattnaik	00256832	AIR PP6 738 R	ED	01-Nov-2016	31-Oct-2019	3	1	1	0

## II. Composition of Committees

<b>Audit Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Omkar Nath Mohanty	ID	Member
2	Ranganath Raghupathy Rao	NED	Member
3	Prakash Chandra Parakh	ID	Chairperson
4	Dipak Kumar Banerjee	ID	Member

<b>Stakeholders Relationship Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Sanjay Kumar Pattnaik	ED	Member
2	Krishnava Satayki Dutt	ID	Chairperson
3	Prakash Chandra Parakh	ID	Member

<b>Risk Management Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Meena Lall	NED	Chairperson
2	Sanjay Kumar Pattnaik	ED	Member

<b>Nomination and Remuneration Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Manoj Thankachan Thomas	ID	Chairperson
2	Dipak Kumar Banerjee	ID	Member
3	Abanindra Mohan Misra	C	Member

<b>III. Meeting of Board of Directors</b>		
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
26-Apr-2017		44
06-Jun-2017	21-Jul-2017	

<b>IV. Meeting of Committees</b>				
<i>Name of the committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
				44
Audit Committee		Yes	25-Apr-2017	
Audit Committee	21-Jul-2017	Yes	06-Jun-2017	
Stakeholders Relationship Committee		Yes	25-Apr-2017	
Risk Management Committee	27-Sep-2017	Yes		
Nomination & Remuneration Committee		Yes	26-Apr-2017	

<b>V. Related Party Transactions</b>	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

#### **VI. Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee - **Yes**
  - Nomination & remuneration committee - **Yes**
  - Stakeholders relationship committee - **Yes**
  - Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
- The committee members have been made aware of their powers, role and responsibilities as

- specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
  5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

**The Corporate Governance Report for the quarter ended June 30, 2017 had been placed before the Board at its meeting held on July 21, 2017 and this report will be placed in the ensuing Board meeting scheduled to be held on October 17, 2017.**

**Name** : Sanjay Kasture  
**Designation** : Company Secretary & Compliance Office

### ANNEXURE III

#### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes

Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes
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Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

**Name** : **Sanjay Kasture**  
**Designation** : **Company Secretary & Compliance Office**