

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Tata Sponge Iron Limited**
 2. Quarter ending - **30-Sep-2016**

i. Composition of Board of Directors										
Title (Mr./Ms)	Name of the Director	DI N	P A N	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of cessation	Tenure	No of Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Abanindra Mohan Misra	1477289	ABX PM 600 3H	C	02-Aug-2009			1	0	0
Mr.	Dipak Kumar Banerjee	28123	AES PB2 776 A	ID	15-Jul-2014	14-Jul-2019		6	4	2
Mr.	Prakash Chandra Parakh	1305775	ADF PP1 990 C	ID	21-Aug-2015	20-Aug-2020	5	1	1	1
Mr.	Manoj Thanachan Thomas	3614981	AGF PT1 685 H	ID	15-Jul-2014	14-Jul-2019	5	1	0	0

Mr.	Ranganath Raghupathy Rao	67 25 33 7	AAF PRO 403 F	NED	15-Jul-2014			1	1	0
Mr.	Omkar Nath Mohanty	30 58 57 6	ABL PM 679 1Q	ID	21-Aug-2015	15-Jul-2019	4	1	1	0
Mrs.	Mee na Lall	51 33 32 2	AAJ PL2 610 G	NED	21-Aug-2015			1	0	0
Mr.	Sanjay Kumar Pattnaik	25 68 32	AIR PP6 738 R	ED	01-Nov-2016	31-Oct-2019	3	1	1	0

II. Composition of Committees

Audit Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Dipak Kumar Banerjee	ID	Member
2	Prakash Chandra Parakh	ID	Chairperson
3	Ranganath Raghupathy Rao	NED	Member
4	Omkar Nath Mohanty	ID	Member

Stakeholders Relationship Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Prakash Chandra Parakh	ID	Member
2	Sanjay Kumar Pattnaik	ED	Member

Risk Management Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>

Nomination and Remuneration Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Abanindra Mohan Misra	C	Member
2	Dipak Kumar Banerjee	ID	Member
3	Manoj Thankachan Thomas	ID	Chairperson

III. Meeting of Board of Directors	
	<i>Maximum gap between any two consecutive (in</i>

<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>number of days</i>
25-Jul-2016	21-Oct-2016	90

IV. Meeting of Committees

<i>Name of the committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
				90
Audit Committee	21-Oct-2016	Yes	25-Jul-2016	
Nomination & Remuneration Committee	21-Oct-2016	Yes	25-Jul-2016	

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Sanjay Kasture
Designation : Company Secretary

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

Name : Sanjay Kasture

Designation : Company Secretary