

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Tata Sponge Iron Limited**  
 2. Quarter ending - **30-Sept-2018**

<b>i. Composition of Board of Directors</b>										
Title (Mr./Ms)	Name of the Director	DI N	PA N	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of cessation	Tenure (in months)	No of Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Abanindra Mohan Misra	01477289	ABXPM6003H	C,NED	02-Aug-2009			1	0	0
Mr.	Dipak Kumar Banerjee	00028123	AESPB2776A	ID	15-Jul-2014	14-Jul-2019	60	6	7	3
Mr.	Prakash Chandraparakh	01305775	ADFP1990C	ID	21-Aug-2015	20-Aug-2020	60	1	1	1
Mr.	Manoj Thanachan Thomas	03614981	AGFPT1685H	ID	15-Jul-2014	14-Jul-2019	60	1	1	0
Mr.	Ranganath	0672	AAFPRO	NED	15-Jul-2014			2	4	1

	h Ragh upat hy Rao	53 37	403 F							
Mr.	Omka r Nath Moh anty	03 05 85 76	ABL PM 679 1Q	ID	21-Aug- 2015	15- Jul- 2019	47	1	1	0
Mr.	Krish nava Sata yki Dutt	02 79 27 53	AC QP D88 50P	ID	21-Aug- 2015	15- Aug- 2019	48	4	2	4
Mrs.	Mee na Lall	05 13 33 22	AAJ PL2 610 G	NED	21-Aug- 2015			1	0	0
Mr.	Sanja y Kum ar Pattn aik	00 25 68 32	AIR PP6 738 R	ED	01-Nov- 2016	31- Oct- 2019	36	1	1	0

## II. Composition of Committees

<b>Audit Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Dipak Kumar Banerjee	ID	Member
2	Omkar Nath Mohanty	ID	Member
3	Ranganath Raghupathy Rao	NED	Member
4	Prakash Chandra Parakh	ID	Chairperson

<b>Stakeholders Relationship Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Prakash Chandra Parakh	ID	Member
2	Krishnava Satayki Dutt	ID	Chairperson
3	Sanjay Kumar Pattnaik	ED	Member

<b>Risk Management Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Meena Lall	NED	Chairperson
2	Sanjay Kumar Pattnaik	ED	Member

<b>Nomination and Remuneration Committee</b>			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Dipak Kumar Banerjee	ID	Member
2	Manoj Thankachan Thomas	ID	Chairperson
3	Abanindra Mohan Misra	C,NED	Member

<b>III. Meeting of Board of Directors</b>		
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
17-Apr-2018	17-Jul-2018	90
	20-Jul-2018	2
	07-Aug-2018	17

<i>Name of the committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
Audit Committee	17-Jul-2018	Yes	17-Apr-2018	90
Nomination & Remuneration Committee	-	-	17-Apr-2018	-
Stakeholders Relationship Committee	09-Jul-2018	Yes	-	-
Risk Management Committee	16-Jul-2018	-	-	-

<b>V. Related Party Transactions</b>	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

#### **VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**

- c. Stakeholders relationship committee - **Yes**
- d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
- 5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

**The Corporate Governance Report for the quarter ended June 30, 2018 had been placed before the Board in its meeting held on July 17, 2018. The Report for this quarter (Quarter ended September 30, 2018) will be placed before the Board in its meeting scheduled to be held on October 12, 2018.**

**Name : Sanjay Kasture**  
**Designation : Company Secretary & Compliance Officer**

**Compliance Report on Corporate Governance for the half-year ended on September 30, 2018**

I Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA) refer note below
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website.	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting.	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting.	19(3)	No  (Due to personal commitments)
Whether "Corporate Governance Report" disclosed in Annual Report.	34(3) read with para C of Schedule V	Yes
<b>Note</b> <ol style="list-style-type: none"><li>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</li><li>2. If status is "No" details of non-compliance may be given here.</li><li>3. If the Listed Entity would like to provide any other information the same may be indicated here.</li></ol>		

Name : Sanjay Kasture  
Designation : Company Secretary & Compliance Officer  
Date : 15-10-2018