

**Format of holding of specified securities**

- 1 Name of Listed Entity: **Tata Sponge Iron Limited**
- 2 Scrip Code : **513010** / Name of Scrip : **TATASPONGE** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
  - a. If under 31(1)(b) then indicate the report for Quarter ending **30th September, 2018**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg:y	Total								
								Number of Locked in shares		Number of Shares pledged or otherwise encumbered								
(A)	Promoter & Promoter Group	1	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	54.50	0	0.00	0	0.00	8393554
(B)	Public	40923	7006446	0	0	7006446	45.50	7006446	0	7006446	45.50	0	45.50	0	0.00	NA	NA	6343509
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	40924	15400000	0	0	15400000	100.00	15400000	0	15400000	100.00	0	100.00	0	0.00	0	0.00	14737063

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
									Class X	Class Y	Total										
(1)	<b>Indian</b>																				
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other (specify)																				
(i)	Bodies Corporate																				
	(i) Tata Steel Limited	AAACT2803M	1	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	0.00	0	0.00	0	0.00	8393554		
	<b>Sub-Total (A)(1)</b>		<b>1</b>	<b>8393554</b>	<b>0</b>	<b>0</b>	<b>8393554</b>	<b>54.50</b>	<b>8393554</b>	<b>0</b>	<b>8393554</b>	<b>54.50</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>8393554</b>		
(2)	<b>Foreign</b>																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>		
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>1</b>	<b>8393554</b>	<b>0</b>	<b>0</b>	<b>8393554</b>	<b>54.50</b>	<b>8393554</b>	<b>0</b>	<b>8393554</b>	<b>54.50</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>8393554</b>		

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).  
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								(IX)				(XII)		(XIII)			
								No of Voting Rights	Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)		
<b>(1) Institutions</b>																	
(a) Mutual Funds/UTI		3	3000	0	0	3000	0.02	3000	0	3000	0.02	0	0.00		NA		0
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
(e) Foreign Portfolio Investors		25	7,98,804	0	0	798804	5.19	798804	0	798804	5.19	0	0.00		NA		798804
(f) Financial Institutions/ Banks		10	40,912	0	0	40912	0.27	40912	0	40912	0.27	0	0.00		NA		35,712
(g) Insurance Companies		1	1,00,000	0	0	100000	0.65	100000	0	100000	0.65	0	0.00		NA		1,00,000
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
<b>Sub-Total (B)(1)</b>		<b>39</b>	<b>942716</b>	<b>0</b>	<b>0</b>	<b>942716</b>	<b>6.12</b>	<b>942716</b>	<b>0</b>	<b>942716</b>	<b>6.12</b>	<b>0</b>	<b>0.00</b>		<b>NA</b>		<b>934516</b>
<b>(2) Central Government/ State Government(s)/ President of India</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>		<b>NA</b>		<b>0</b>
<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>		<b>NA</b>		<b>0</b>
<b>(3) Non-institutions</b>																	
(a) Individuals -		38770	4876759	0	0	4876759	31.67	4876759	0	4876759	31.67	0	0.00		NA		4229552
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		38,760	43,82,015	0	0	4382015	28.45	4382015	0	4382015	28.45	0	0.00		NA		37,34,808
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10	4,94,744	0	0	494744	3.21	494744	0	494744	3.21	0	0.00		NA		4,94,744
(b) NBFCs registered with RBI		4	2,515	0	0	2515	0.02	2515	0	2515	0.02	0	0.00		NA		2,515
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0
<b>(e) Any Other (specify)</b>																	
(i) Bodies Corporate		355	3,89,528	0	0	389528	2.53	389528	0	389528	2.53	0	0.00		NA		3,82,428
(ii) Clearing Members		246	2,73,598	0	0	273598	1.78	273598	0	273598	1.78	0	0.00		NA		2,73,598
(iii) Limited Liability Partnership - LLP		18	8,811	0	0	8811	0.06	8811	0	8811	0.06	0	0.00		NA		8,811
(iv) NRI		706	1,74,245	0	0	174245	1.13	174245	0	174245	1.13	0	0.00		NA		1,73,815
(v) HUF		781	1,78,232	0	0	178232	1.16	178232	0	178232	1.16	0	0.00		NA		1,78,232
(v) Trusts		3	4,200	0	0	4200	0.03	4200	0	4200	0.03	0	0.00		NA		4200
(VI) JEPF SUSPENCE ACCOUNT		1	1,55,842	0	0	155842	1.01	155842	0	155842	1.01	0	0.00		NA		1,55,842
<b>Sub-Total (B)(3)</b>		<b>40884</b>	<b>6063730</b>	<b>0</b>	<b>0</b>	<b>6063730</b>	<b>39.37</b>	<b>6063730</b>	<b>0</b>	<b>6063730</b>	<b>39.37</b>	<b>0</b>	<b>0.00</b>		<b>0</b>		<b>5408993</b>
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>40923</b>	<b>7006446</b>	<b>0</b>	<b>0</b>	<b>7006446</b>	<b>45.50</b>	<b>7006446</b>	<b>0</b>	<b>7006446</b>	<b>45.50</b>	<b>0</b>	<b>0.00</b>		<b>NA</b>		<b>6343509</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)
									No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not appli-cable)	As a % of total shares held (Not applicable)	
									Class X	Class Y											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA	NA	0			
(a)	Name of DR Holder (if available)																				
(i)	abc,...																				
(ii)	efg...																				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0	NA	0			
(a)	Name (abc...																				
<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0			

**Note**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,