



October 17, 2016

Dy.General Manager
BSE Limited
Corporate Relationship Department
New Trading Ring (1st Floor)
Rotunda Building
P J Towers
Dalal Street
Mumbai – 400 001.

The Manager
Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza (5th Floor)
Plot No. C/1, G. Block
Bandra-Kurla Complex
Bandra (E)
Mumbai – 400 051.

Dear Sir,

Sub : Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 30th September, 2016

Please find enclosed herewith the Certificate as required under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 30th September, 2016.

This is for your information and record please.

Thanking you,

Yours faithfully,
For Tata Sponge Iron Limited

Sanjay Kasture
Company Secretary

Encl : as above.

TATA Sponge Iron Limited

PO Joda Dist Keonjhar Orissa 758 034 India

Tel 91 6767 278178 Fax 278129 Email: info@tatasponge.com, Website : www.tatasponge.com

CIN: L27102OR1982PLC001091

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2016

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to TATA SPONGE IRON LIMITED maintained by TSR Darashaw Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 01st April, 2016 to 30th September, 2016 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 30th September, 2016 share certificates relating to the transfer of shares received during the period from 01st April, 2016 to 30th September, 2016, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 30th September, 2016 share certificates in respect of requests relating to sub-division (split) and renewal received during the period from 01st April, 2016 to 30th September, 2016, within thirty days from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: ---

For Parikh & Associates

Place: Mumbai
Date: October 10, 2016




P.N.Parikh
FCS: 327 CP: 1228