

Format of holding of specified securities

- 1 Name of Listed Entity: **Tata Sponge Iron Limited**
- 2 Scrip Code : **513010** / Name of Scrip : **TATASPONGE** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. If under 31(1)(b) then indicate the report for Quarter ending **30th September, 2017**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I) Category	(II) Category of shareholder	(III) Nos. of shareholders	(IV) No. of fully paid up equity shares held	(V) No. of Partly paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) = (IV)+(V)+ (VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities			(X) No. of Shares Underlying Outstanding convertible securities (including Warrants)	(XI)= (VII)+(X) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class eg: X	Class eg:y	Total								
								(a)	(b)	(a)				(b)				
(A)	Promoter & Promoter Group	2	8393554	0	0	8393554	54.50	8393554	0	0	0	0.00	0	0.00	0	0.00	8393554	
(B)	Public	36889	7006446	0	0	7006446	45.50	7006446	0	0	0	0.00	0	0.00	NA	NA	6142259	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0.00	0	0.00	NA	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	NA	0	
	Total	36891	15400000	0	0	15400000	100.00	15400000	0	0	0	0.00	0	0.00	0	0.00	14535813	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
									Class X	Class Y	Total										
(1)	Indian																				
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other (specify)																				
(i)	Bodies Corporate																				
	(i) Tata Steel Limited	AAACT2803M	2	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	54.50	0	0.00	0	0.00	8393554		
	Sub-Total (A)(1)		2	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	54.50	0	0.00	0	0.00	8393554		
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	8393554	0	0	8393554	54.50	8393554	0	8393554	54.50	0	54.50	0	0.00	0	0.00	8393554		

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								As a % of (A+B+C2) (VIII)	(IX)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
									No of Voting Rights	Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)						(XII)	(XIII)	(XIV)				
(1) Institutions																			
(a) Mutual Funds/UTI		6	3500	0	0	3500	0.02	3500	0	3500	0.02	0	0.02	0	0.00	NA	0		
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(e) Foreign Portfolio Investors		19	1077712	0	0	1077712	7.00	1077712	0	1077712	7.00	0	7.00	0	0.00	NA	1077712		
i) Hsbc Global Investment Funds - Asia Ex Japan Equity Smaller Companies	AADCH8960Q	1	702,538	0	0	702538	4.56	702538	0	702538	4.56	0	4.56	0	0.00	NA	702,538		
(f) Financial Institutions/ Banks		15	22199	0	0	22199	0.14	22199	0	22199	0.14	0	0.14	0	0.00	NA	16,449		
(g) Insurance Companies		1	100,000	0	0	100000	0.65	100000	0	100000	0.65	0	0.65	0	0.00	NA	100,000		
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
Sub-Total (B)(1)		41	1203411	0	0	1203411	7.81	1203411	0	1203411	7.81	0	7.81	0	0.00	NA	1194161		
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0		
(3) Non-institutions																			
(a) Individuals -		36005	5057443	0	0	5057443	32.84	5057443	0	5057443	32.84	0	32.84	0	0.00	NA	4211856		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		35993	4659082	0	0	4659082	30.25	4659082	0	4659082	30.25	0	30.25	0	0.00	NA	3813495		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	398361	0	0	398361	2.59	398361	0	398361	2.59	0	2.59	0	0.00	NA	398361		
(b) NBFCs registered with RBI		6	8779	0	0	8779	0.06	8779	0	8779	0.06	0	0.06	0	0.00	NA	8779		
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(e) Any Other (specify)																			
(i) Bodies Corporate		352	383,720	0	0	383720	2.49	383720	0	383720	2.49	0	2.49	0	0.00	NA	374370		
(ii) Clearing Members		473	341,539	0	0	341539	2.22	341539	0	341539	2.22	0	2.22	0	0.00	NA	341539		
(iv) Limited Liability Partnership - LLP		10	7,854	0	0	7854	0.05	7854	0	7854	0.05	0	0.05	0	0.00	NA	7854		
(iii) Trusts		2	3,700	0	0	3700	0.02	3700	0	3700	0.02	0	0.02	0	0.00	NA	3700		
Sub-Total (B)(3)		36848	5803035	0	0	5803035	37.68	5803035	0	5803035	37.68	0	37.68	0	0.00	NA	4948098		
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		36889	7006446	0	0	7006446	45.50	7006446	0	7006446	45.50	0	45.50	0	0.00	NA	6142259		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)	
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not appli-cable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																					
(i)	abc,...																					
(ii)	efg,...																					
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0				
(a)	Name (abc...																					
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0				
Note																						
(1) PAN would not be displayed on website of Stock Exchange(s).																						
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																						
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,																						